

ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 1 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

Standard(s): ANSI/CAN/UL 9540A:2019 Fourth Edition, Dated November 12, 2019 - Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems

Testing Laboratory Name:	CCIC-CSA International Certification Co., Ltd. Kunshan Branch					
Address:	Building 8, Tsinghua Science Park, No. 1666 Zu chongzhi Rd (S), Kunshan, Jiangsu (215347)					
Testing Program:	Custom Test: Cover Letter Testing Only					
	Note: Mark " X " in applicable test program block					

If tests were performed at another facility, then described below:

Testing Laboratory Name:	Shanghai Huahui Testing Co., Ltd
Address:	No. 158, Changbangcun Road, Fengxian District, Shanghai, China
Facility Qualification Number:	260091

	As above / or describe otherwise
Customer:	Fortress Power LLC
Address:	505 Keystone Rd, Southampton, Pennsylvania 18966 United States

Tested By:	Nan Wa		
	Nan Wang		
	Signature		
Reviewed by:	Austin Chen/Jos	eph Zhou, Certifier(CSA Group)	
Witnessed by:			
	Joseph Zhou	2022-05-18	
	Signature	Date (YYYY-MM-DD)	Version4 : 01/25/2021



ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 2 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

Product Details	
Test Request:	 Cell Level Testing Module Level Testing Unit Level Testing Installation Level Testing
Manufacturer	Cell: Module: Unit: Fortress Power LLC
Brand name / Trademark	☐ Cell: ☐ Module: ☑ Unit: N/A
Model Number	□ Cell: □ Module: ☑ Unit: eVault Max
Date of receipt of test sample(s)	2022-05-10 (YYYY-MM-DD)
Cell/Battery Type	Li-ion(LFP)
Approximate Dimension (mm)	 ☐ Cell: ☐ Module: ☑ Unit: W*D*H: 515 ±5 mm * 515 ±5 mm * 1073 ±5 mm
Mass (kg)	☐ Cell: ☐ Module: ☑ Unit: 235
DUT Sample/Serial Number	Cell: Module: Unit: DUT1,DUT2
DUT Nominal Voltage Rating (V)	□ Cell: □ Module: ⊠ Unit: 51.2V
DUT Nominal Charge Capacity Rating (Ah)	Cell: Module: Unit: 360Ah@0.5C
Fire Mitigation Strategies:	U Water:
(For installation level testing)	\square Other (Specify). \square N/A
Additional Information	N/A



ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 3 of 38
Project / Network:	80128223	Description:	: Li-ion battery rack for stationary applications	

THE TESTING SPECIFIED IN THIS PROCEDURE IS INHERENTLY DANGEROUS

DO NOT ATTEMPT TO PERFORM THIS TEST UNLESS YOU HAVE BEEN PROPERLY TRAINED REGARDING SAFELY WORKING WITH THE HAZARDS INVOLVED

Important Test Consideration:

- As some batteries expose in test described above, it is important that personnel be protected from the flying fragments, explosive force, and sudden release of heat, chemical burns, and noise resulting from such explosions. The test area is to be well ventilated to protect personnel from possible harmful fumes or gases.
- Temperature of the surface of the battery casing shall be monitored during the tests described above. All personnel involved in the testing of batteries are to be instructed never to approach a battery until the surface temperature returns to ambient temperature.
- Test shall be conducted in separate room or equipped with an adequate safety barrier separating the test area from observer.



ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 4 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

UL 9540 A - Definition

-<u>"BATTERY ENERGY STORAGE SYSTEM (BESS)</u>" - Stationary equipment that receives electrical energy and then utilizes batteries to store that energy to supply electrical energy at some future time. The BESS, at a minimum consists of one or more modules, a power conditioning system (PCS), battery management system (BMS) and balance of plant components.

NOTE: For flow battery systems the energy is stored within one or more electrolyte storage tanks.

- a) INITIATING BATTERY ENERGY STORAGE SYSTEM UNIT (INITIATING BESS) A BESS unit which has been equipped with resistance heaters in order to create the internal fire condition necessary for the installation level test (Section 9).
- b) TARGET BATTERY ENERGY STORAGE SYSTEM UNIT (TARGET BESS) The enclosure and/or rack hardware that physically supports and/or contains the components that comprise a BESS. The target BESS unit does not contain energy storage components, but serves to enable instrumentation to measure the thermal exposure from the initiating BESS.

-<u>"BATTERY SYSTEM"</u> - Is a component of a BESS and consists of one or more modules typically in a rack configuration, controls such as the BMS and components that make up the system such as cooling systems, disconnects and protection devices.

-"<u>CELL</u>" - The basic functional electrochemical unit containing an assembly of electrodes, electrolyte, separators, container, and terminals. It is a source of electrical energy by direct conversion of chemical energy.

-<u>"DUT"</u> - Device under test.

-"ELECTRICAL RESISTANCE HEATERS"	- Devices that convert electrical	energy supplied from a laboratory
source into thermal energy.		

-<u>"END OF DISCHARGE VOLTAGE (EODV)"</u> - The manufacturer's specified minimum voltage level during discharge.

-<u>"ENERGY RESERVOIR"</u> - The solution which stores the active energy in the flow battery energy storage system. This can be in the form of one electrolyte, two electrolytes, or one electrolyte with solid metal particles.

-<u>"FLEXIBLE FILM HEATERS"</u> - Electrical resistance heaters of a film, tape or otherwise thin sheet like construction that easily conform to the surface of cells.

-<u>"FLOW BATTERY"</u> - A battery technology that stores its active materials in the form of one or more electrolytes (with or without solid metal particles) within one or more storage tanks, and when operating, the electrolytes are transferred between the reactor (battery stacks) and the storage tanks

NOTE 1: Three commercially available flow battery technologies are zinc air, zinc bromine and vanadium redox.

NOTE 2: Unlike a fuel cell system, a flow battery is a closed system and has no net consumption of fuel.



ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 5 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

-<u>"MAXIMUM SURFACE TEMPERATURE END POINT"</u> - The final hold temperature measured on the cell case after conducting the thermal ramp when using the external heater method to achieve thermal runaway of the cell.

-<u>"MODULE"</u> - A subassembly that is a component of a BESS that consists of a group of cells or electrochemical capacitors connected either in a series and/or parallel configuration (sometimes referred to as a block) with or without protective devices and monitoring circuitry.

-<u>"MONOBLOC"</u> - A battery design with a common case containing one or more internal cells, electrolyte, a vent or pressure relief valve assembly, intercell connections and hardware. A typical example of a common monobloc battery is an SLI lead acid battery.

-<u>"NON-RESIDENTIAL USE"</u> - Intended for use in commercial, industrial or utility owned locations.

-<u>"RESIDENTIAL USE"</u> - In accordance with this standard, intended for use in one or two family homes and townhomes and individual dwelling units of multi-family dwellings.

-<u>"STATE OF CHARGE (SOC)"</u> - The available capacity in a BESS, pack, module or cell expressed as a percentage of rated capacity.

-<u>"THERMAL RUNAWAY"</u> - The incident when an electrochemical cell increases its temperature through selfheating in an uncontrollable fashion. The thermal runaway progresses when the cell's generation of heat is at a higher rate than the heat it can dissipate. This may lead to fire, explosion and gas evolution.

-<u>"UNIT"</u> - A frame, rack or enclosure that consists of a functional BESS which includes components and subassemblies such as cells, modules, battery management systems, ventilation devices and other ancillary equipment.



ORIGINAL TEST DATA

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This report shall not be reproduced, except in full, without the approval of CSA Group Testing & Certification Inc.

Master Contract:	302250	Model:	eVault Max	Page number 6 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

ANSI/CAN/UL 9540A:2019 Fourth Edition, Dated November 12, 2019 - Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems

Section	Requirement	Test (T) / Waive (W) / Not App. (N/A)	Comments
5	General		
5.1	Cell	N/A	Cell testing conducted by TÜV SÜD New Energy Testing (Guangdong) Co.,Ltd during report no. 64.280.21.60315.01 issue date 2022-07-22
5.1.1	The cells associated with the BESS were tested.		See Attachment Att.1_UL 9540A_Cell Level test report for Cell Level testing data provided by manufacturer
5.1.2	Cells associated with the BESS comply with UL 1973		Cell comply with UL 1973, see attachment Att.2_UL 1973 Certificate_LFP48173170E-120Ah
5.1.3	Cell level test report		Cell testing conducted by TÜV SÜD New Energy Testing (Guangdong) Co.,Ltd. during report no. 64.280.21.60315.01, issue date 2022-07-22.
5.2	Module	N/A	Module testing not requested by manufacturer
5.2.1	The module associated with the BESS were tested.		Module testing not requested by manufacturer
5.2.2	Modules associated with the BESS comply with UL 1973		See Attachment 3 for module certificate complying UL 1973 provided by manufacturer
5.2.3	Module level test report		Module testing not requested by manufacturer
5.3	Battery energy storage system unit	Т	Test Conducted
5.3.1	BESS were tested.		Manufacturer Name: Fortress Power LLC Model: eVault Max Nominal Voltage: 51.2V BESS Capacity (Ahr): 360Ah@0.5C BESS Energy (Whr): 18.5
5.3.2	BESS comply with UL 9540		See Attachment 2 for BESS certificate complying UL 9540 and UL 1973 provided by manufacturer Number of modules in the BESS: 4 module Electrical configuration of the module: 4S3P
			Other major components of the BESS: BESS was designed with full cabinet enclosure to safeguard



ORIGINAL TEST DATA

The results relate only to the items tested.

Master Contract: 302250		Model:	eVault Max	Page number 7 of 38	
Project / Network: 80128223		Description:	: Li-ion battery rack for stationary applications		
Section	Requirement	Test (T) / Waive (W) / Not App. (N/A)	Comments		
			the battery modules inside, m mounting with power wire inter BESS enclosure overall dimen- 515 ±5 mm * 515 ±5 mm * 107 BESS enclosure material: Meta Battery system complies with U Attachment 2 for battery syster UL 1973 provided by manufact	odules were rack rconnected. sions (mm): W*D*H: 73 ±5 mm al Enclosure JL 1973, See n certificate complying urer	
5.3.3	Fire detection and suppression systems		BESS do not have fire detection system	on and suppression	
5.3.4	BESS test report		See Unit level test section belo	SM	
5.4	Flow Batteries	N/A	EUT is not flow battery		
5.4.1		N/A	EUT is not flow battery		
5.4.2	Flow battery comply with UL 1973	N/A	EUT is not flow battery		
5.4.3	Flow battery thermal runaway determination level test report	N/A	EUT is not flow battery		



ORIGINAL TEST DATA

The results relate only to the items tested.

Master Contract:	302250	Model:	eVault Max	Page number 8 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

Attachments				
No.	Name	Page		
1	Att.1_UL 9540A_Cell Level test report	41		
2	Att.2_UL 1973 Certificate_LFP48173170E-120Ah	2		
3	Att.3_ eVault Max UL9540_UL 1973 Certificate	1		
3	Test Video 1	MP4		
4	Test Video 2	MP4		
5	Test Video 3	MP4		
6	Test Video 4	MP4		



ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 9 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

ANSI/CAN/UL 9540A:2019 Fourth Edition, Dated November 12, 2019 - Section 9 Unit Level Testing

Section	Requirement	Comments		
9	Unit Level Testing			
9.1	Sample and test configuration			
	The unit level test conducted with BESS units	Test Configration:		
	installed as described in the manufacturer's	Indoor floor mounted residential use BESS;		
	Instructions and this section.			
	Unit level test required one initiating BESS unit			
	accordance with the module level test is			
	initiated and target adjacent BESS units			
	representative of installation.			
	Test conducted for indoor floor mounted	⊠ Conformed		
	Installations with fire propagation nazards and separation distances between initiating and			
	target units representative of the installation.			
	Exception: Testing can be conducted outdoors for	or outdoor only installations if there are the following		
	controls and environmental conditions in place:	N/A		
	a) Wind screens are utilized with a maximum			
	wind speed maintained at ≤ 12 mpn;			
	b) The temperature range is within 10°C to 40°C (50°F to 104°F);			
c) The humidity is < 90% RH;				
	 d) There is sufficient light to observe the testing; 			
	e) There is no precipitation during the testing;			
	f) There is control of vegetation and			
	combustibles in the test area to prevent any			
	inadvertent fire spread from the test area: and			
	a) There are protection mechanisms in place			
	to prevent inadvertent access by unauthorized			
	persons in the test area and to prevent			
	exposure of persons to any hazards as a result			
	Depending upon the configuration and design			
	of the BESS (e.g. the BESS is composed of			
	multiple separate parts within separate			
	enclosures), this testing to determine fire			



ORIGINAL TEST DATA

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Master Contract: 302250	Model:	eVault Max	Page number 10 of 38
Project / Network: 80128223	Description:	: Li-ion battery rack for stationary applications	

Section	Requirement	Comments
	characterization can be done at the battery system level.	
	Note: The suitability of this approach shall be determined based upon the overall design of the BESS and an analysis of the battery system as representative of the overall BESS for fire characterization concerns.	
	The initiating BESS unit shall contain components representative of a BESS unit in a complete installation.	Conformed Note: Combustible components that interconnect the initiating and target BESS units shall be included.
	 Target BESS units shall include the outer cabinet (if part of the design), racking, module enclosures, and components that retain cells components. 	Conformed Outer cabinet was provided for target BESS unit, temperature measured on the cabinet enclosure was used to verify the propagation between units.



ORIGINAL TEST DATA

The results relate only to the items tested.

Master Contract: 302250		Model:	eVault Max Page number 11 of 38
Project / Network: 80128223		Description:	Li-ion battery rack for stationary applications
Project / Network: 80128223		ithin 8 after urer operating	ConformedManufacturer recommended charge/discharge method:Charging Procedure: CC Charging Voltage (V): 56.8 Charging Current (A): 120 Charging End Condition (A): battery module voltage reached 56.8V.Discharging Procedure: CC Discharging Current (A): 120
	BESS unit includes an integral fi system. Electronics and software control battery management system (BI BESS are not relied upon for this	re suppressions in the statistical states in the states in	 Integral fire suppression system : Optional Part of BESS Evaluation DUT tested with Integral fire suppression system DUT tested without Integral fire suppression system Conformed
9.2	Test method – Indoor floor mour	nted BESS ur	its
	During the test, the test room en shall be controlled to prevent dra affect test results.	vironment afts that may	Temperature(°C): 22.8 to 20.9 Humidity (% RH): 61%
	Any access door(s) or panels or BESS unit and adjacent target B	the initiating SESS units	Conformed



ORIGINAL TEST DATA

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Master Contract: 302250	Model:	eVault Max	Page number 12 of 38	
Project / Network: 80128223	Description:	Li-ion battery rack for stationary applic	ations	
closed, latched and locked at the and duration of the test.	e beginning			
The initiating BESS unit position two instrumented wall sections.	ed adjacent t	o 🛛 Conformed		
Instrumented wall sections externation than 1.6 ft. (0.49 m) horizontally exterior of the target BESS units	nd not less beyond the s.	Conformed		
Instrumented wall sections was (2-ft) taller than the BESS unit h less than 3.66 m (12 ft) in heigh bottom surface of the unit	at least 0.61- eight, but not t above the	m 🛛 Conformed	Conformed	
The surface of the instrumented were covered with 5/8-in (16-mr board and painted flat black.	wall sections n) gypsum wa	all Conformed		
The initiating BESS unit was cer underneath an appropriately size collection hood of an oxygen con calorimeter	The initiating BESS unit was centred underneath an appropriately sized smoke collection hood of an oxygen consumption calorimeter			
The light transmission measured calorimeter's exhaust duct of the rate calorimeter.	d in the e heat release	Conformed		
The smoke release rate calculat	ed.	Conformed		
The chemical and convective he rates shall be measured for the test	eat release duration of the	e Conformed		
The heat release rate measuren was calibrated using an atomize diffusion burner.	nent system d heptane	Conformed		
 The convective heat release rate shall be measured using 1. Thermopile, 2. Velocity probe, and 3. Type K thermocouple, located in the exhaust system of the exhaust duct 		Conformed		
Following test configuration use	d for testing.			
 Installation of BESS unit with two o more rows 		N/A		
- Installation of BESS unit row	s with a single	e Conformed		



ORIGINAL TEST DATA

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ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 14 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applica	tions
Note: be rep of BE: single item e	The physical spacing betwo presentative of the intender SS units of two or more ro row, with an instrumented exposed to thermal energy	veen BESS u d installation. ws. The right I wall taking p from the initia	nits (both initiating and target) and For example, the left side of Figu side of Figure 9.1 shows a layout place of the target BESS units as t ating BESS unit in thermal runawa	d adjacent walls shall are 9.1 shows a layout t of BESS units of a the nearest potential ay
Wall s collec locatio	surface temperature measure ted for BESS intended for ons with combustible const	urements installation in truction.	Conformed	
Wall s vertica the fu using -	surface temperatures meas al array(s) at 152-mm (6-in ll height of the instrumente No. 24-gauge or smaller, Type-K exposed junction thermocouples.	sured in) intervals for d wall section	Conformed	
Therm surfac	nocouples shall be secured the by the	d to gypsum	Conformed	
Heat f cooled of eac	ilux measured with at least d Schmidt- Boelter gauges th instrumented wall:	two water- at the surfac	 A Conformed Heat flux gauge were used for cheese cloth was used follow on Mar 21, 2021 and Oct 21, 	or reference, as the ving the CRD issued 2021



ORIGINAL TEST DATA

The results relate only to the items tested.

Master Contract:	302250	Model:	eVault Max		Page number 15 of 38
Project / Network: 80128223 Description: Li-		Li-ion battery rack for	ion battery rack for stationary applications		
a) Bot therm	a) Both are collinear with the vertical thermocouple array:				
b) One to rece therma	b) One is positioned at the elevation estimated to receive the greatest heat flux due to the thermal runaway of the initiating module; and				
c) One to rece potent within	c) One is positioned at the elevation estimated to receive the greatest heat flux during potential propagation of thermal runaway within the initiating BESS unit				
Heat f cooled of eac the ini	lux measured with at lease I Schmidt-Boelter gauges h adjacent target BESS un tiating BESS unit:	t two water- at the surface nit that faces	See above	ł	
a) One to rece therma the ini	a) One is positioned at the elevation estimated to receive the greatest heat flux due to the thermal runaway of the initiating module within the initiating BESS; and			See above	
b) One to rece the the	e is positioned at the eleva eive the greatest surface h ermal runaway of the initia	ation estimate neat flux due t nting BESS.	d See above		
For no measu Schmi height acces	For non-residential use BESS, heat flux measured with at least one water-cooled Schmidt-Boelter gauge positioned at the mid height of the initiating unit in the center of the accessible means of egress.			l ge positioned at or reference.	the mid heigh of the
No. 24 junction the ten cells a of the	No. 24-gauge or smaller, Type-K exposed junction thermocouples installed to measure the temperature of the surface proximate to the cells and between the cells and exposed face of the initiating module.		Conformed	I	
Each i the ini least o thermo therma modul	Each non-initiating module enclosure within the initiating BESS unit instrumented with at least one No. 24-gauge or smaller Type-K thermocouple(s) to provide data to monitor the thermal conditions within non-initiating modules.		e		
Additio convo	onal thermocouples placed luted enclosure interior ge	d to account f cometries.	or		



ORIGINAL TEST DATA

The results relate only to the items tested.

Master Contract:	Master Contract: 302250		eVault Max		Page number 16 of 38
Project / Network:	80128223	Description:	Li-ion battery r	ack for stationary applica	tions
For re with a indica	sidential use BESS, the D single layer of cheese clo tor.	UT covered th ignition	Conf	ormed	
An int the modul	An internal fire condition in accordance with the module level test created within a single module in the initiating BESS unit:			ormed	
a) The prese adjace lateral level t	a) The position of the module selected to present the greatest thermal exposure to adjacent modules (e.g. above, below, laterally), based on the results from the module level test; and			dule located from the module.	bottom was used as
b) The setup (i.e. type, quantity and positioning) of equipment for initiating thermal runaway in the module is same as that used to initiate and propagate thermal runaway within the module level test (Section 8).			Module I to	level test was not red	quested by the client
The composition, velocity and temperature of the initiating BESS unit vent gases measured within the calorimeter's exhaust duct.		Conf	ormed		
Gas c Trans minim length gas ar	Gas composition measured using a Fourier- Transform Infrared Spectrometer with a minimum resolution of 1 cm-1 and a path length of at least 2.0 m (6.6 ft), or equivalent gas analyzer.		Conf FTIR wa	ormed is used additionally f	or THC measurement
The h be me	ydrocarbon content of the asured using flame ioniza	vent gas sha tion detectior	II 🛛 Conf	ormed	
Hydro nickel	gen gas measured with a thin-film solid state sensor	palladium- r.	Conf	ormed	
a) Ter modul ambie	 a) Temperatures measured inside each module within the initiating BESS unit return to ambient temperature; b) The fire propagates to adjacent units or to adjacent walls; or 		Conf	ormed	
b) The adjace			Conf	ormed	
c) A condition hazardous to test staff or the test facility requires mitigation.			ormed		



ORIGINAL TEST DATA

The results relate only to the items tested.

Master C	Contract:	302250	Model:	eVault Max		Page number 17 of 38
Project / N	Network:	80128223	Description:	Li-ion battery rack f	or stationary applicat	tions
For residential use systems, the gas collection data gathered in 9.2 shall be compared to the smallest room installation specified by the manufacturer to determine if the flammable gas collected exceeds 25% LFL in air.		n Client decla following pa 1 in from the 1 in from the 1 in from the	ed red minimum 24. rameters: e side of the BES e back of the BES e front of the BES	793m3 room with the S cabinet; SS cabinet; SS cabinet;		
9.3	Test m	nethod – Outdoor ground r	mounted units	<u>s</u>		
	Outdo BESS proxim the tes	or ground mounted non-re being evaluated for install hity to buildings and structu at method described in Se	esidential use lation in close ures shall use ction 9.2.	Conform Indoor floor	ed mounted	
9.4	Test N	1ethod – Indoor wall moun	ted units	[
Indoor wall mounted BESS tested in accordance with Section 9.2, except as modified in this section.		Conform Indoor floor	Conformed Indoor floor mounted			
9.5 Test Method – Outdoor wall mounted units						
Outdoor wall mounted BESS tested in accordance with Section 9.2, except as modified n this section.		Conform	Conformed Indoor floor mounted			
9.6	Roofto	p and open garage install	ations			
	Non-re installa with 9.	esidential use rooftop or op ations BESS tested be in a 2.	pen garage accordance	Conform	Conformed Indoor floor mounted	
Section	9 T	ABI F: Unit I evel Test				
Sample	No				2022040010	
Type of Installation:			Indoor floor mo use	ounted residential		
Representative of Other Type of Installation:			Residential: Inde Outdoor ground Non-residential: mounted, indoor rooftop, open ga	oor floor mounted , mounted Outdoor ground r floor mounted; arage		
Open Ci	rcuit Vo	Itage of Initiating BESS B	efore Test(Vo	lc):	53.4Vdc	
Thermal	runawa	ay initiation Method:			Film heaters	
Location	of Initia	ating module within BESS			2 nd module locat of the rack was	ted from the bottom used as initiating.



ORIGINAL TEST DATA

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Master Contract:	302250	Model:	eVault Max	Page number 18 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

Refer to Fig. 7 for detailed heater and TC wire placement inside the initiating module.
User Delesse Dete

Heat Release Rate

Calibration to the calorimeter was conducted using heptane before battery system unit subjected to the unit level testing. Chemical heat release rate over the duration of the test was calculated using the gas concentration and exhaust flow rate measurements.

Convective heat release rate was measured over the duration of the test. Ambient temperature in the test enclosure, exhaust gas temperature was measured with temperature thermopile constructed from type-K thermocouples, the velocity probe measurements were applied to the calculation of the heat release rate in accordance with the requirement specified in UL 9540A: 2019.

Since no fire ignited during the whole unit level testing, almost no chemical heat release rate was captured. Results for heat release rate are shown in Plot 1, and the peak heat release rate(Peak HRR) was 7.37 kw at 16:15 from the beginning of the test, and the total heat release(THR) was 13.209MJ. No convective heat release was captured during the test.



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Master Contract:	302250	Model:	eVault Max	Page number 20 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

16.12.29	Audible	Call vented with non-counde
10.12.20	Audible	Cell vehied with pop sounds
16:17:40	Audible	Cell vented with pop sounds;
		3rd TR initiated. Heavy smoke release was observed from he
		front and the rear of the initiating unit, and lasted for about 9min
16:22:18	Visible	4th TR initiated. Heavy smoke release was observed from he
		front and the rear of the initiating unit, and lasted for about 5min
16:24:43	Visible/	5th TR initiated. Heavy smoke release was observed from he
	Visible/Audible	front and the rear of the initiating unit, and lasted for about 5min
		Cell vented with pop sounds
16:28:43	Visible/Audible	Cell vented with pop sounds
		6th TR initiated and Heavy smoke release was observed from
		he front and the rear of the initiating unit, and lasted for about
		5min
9:01:59/05-	Test Termination	Video monitor stopped
19		

Flammable Gas Generation and Composition Data

Gas mixture pulled from the exhaust duct throughout the testing was measured for the concentrations including Oxygen, Carbon Monoxide, Carbon Dioxide, total hydrocarbon(THC) and hydrogen. The concentration of gases indicated was scaled based on the exhaust flow characteristics, and the production for the gases was calculated over the testing duration.

Palladium-nickel thin-film solid state sensor was used for hydrogen measurement, while thermal conductive sensor was used for reference only. Refer to below table for each gas presented.



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Master Contract:	302250	Model:	eVault Max	Page number 29 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	





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Master Contract: 3	302250	Model:	eVault Max	Page number 32 of 38
Project / Network: 8	80128223	Description:	Li-ion battery rack for stationary applications	



Fig 1 Orientation of units level testing



Fig 3 BESS Test Setup

module 4

module 3

initiating module

module 1

Fig 2 Location of Initiating module



Fig 4 TC and HFG on Section Wall



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Master Contract:	302250	Model:	eVault Max	Page number 33 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	





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Master Contract:	302250	Model:	eVault Max	Page number 34 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	





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Master Contract: 3	302250	Model:	eVault Max	Page number 35 of 38
Project / Network: 8	80128223	Description:	Li-ion battery rack for stationary applica	tions
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Master Contract: 302250	Model:	eVault Max	Page number 36 of 38
Project / Network: 80128223	Description:	Li-ion battery rack for stationary applications	





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Project / Network: 60128223 Description: Li-ion battery rack for stationary applications Image: Construction of the image of the	Master Contract: 302250	Model:	eVault Max	Page number 37 of 38
Fig 14e. TR Propagation[16:17:40] Fig 14f. TR Propagation[16:22 :18] Fig 14e. TR Propagation[16:17:40] Fig 14f. TR Propagation[16:22 :18]	Project / Network: 80128223	Description:	Li-ion battery rack for stationary application	tions
	Master Contract: 302250 Project / Network: 80128223	7:40]		tions



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Master Contract:	302250	Model:	eVault Max	Page number 38 of 38
Project / Network:	80128223	Description:	Li-ion battery rack for stationary applications	

Date Start: 22/05/18 (YY/MM/DD) Date End: 22/05/19 (YY/MM/DD)

End of Report....